

UNIFIED ENERGY SERVICES

3900 Essex Lane
Suite # 750
Houston, TX 77027

May 5th, 2012

New Hampshire Public Utilities Commission
Debra Howland
Executive Director
21 South Fruit Street
Suite 10
Concord, N.H. 03301-2429



RE: Registering as an Aggregator

Dear Sir or Madam,

I have enclosed one original and two copies of Unified Energy's Cover letter, Authorization letter to do business in New Hampshire from the Secretary of State along with our application for registration as an Aggregator, together with the application fee of \$250.00.

Unified Energy was founded in November 13th, 2008 with the sole purpose of providing its customers with better energy procurement services than could otherwise be found. Unified Energy Services, LLC is a wholly-owned subsidiary of Tullett Prebon as of January 1st, 2012.

With more than 25 years of combined wholesale, retail, and supply side energy experience, Unified Energy is a national leader in power purchasing and negotiations. Generally speaking, we create a market for its customers among retail energy suppliers interested in their electricity load. We provide insight to customers on contract specifics including: 1) contract disclosure of terms and conditions; 2) service termination provisions; 3) disconnection and service termination requirements of selected retail energy provider; 4) minimum service quality and assurance of retail energy provider credit worthiness and status within the state and; 5) customer information including load profile usage and historical usage used to provide a fair and competitive price for electricity.

Feel free to contact me or Michael Harris anytime with any further questions or concerns.

Kindest regards,

Kirsty-Lee Norvell
VP of Operations
617.470.8516
Kirsty.norvell@unifiedenergy.com

ORIGINAL

BEFORE THE NEW HAMPSHIRE PUBLIC SERVICE COMMISSION

Application of Unified Energy Services, LLC, for approval to provide services as a(n) Broker to the public in the State of New Hampshire. To the New Hampshire Public Service Commission:

BUSINESS INFORMATION

1. Legal Name: Unified Energy Services, LLC
2. Current Business Address: 3900 Essex
Suite # 750
Houston, TX 77027

Current Telephone Number: 713.820.6181
Current E-mail Address: michael.harris@unifiedenergy.com
Current Website URL: www.unifiedenergy.com
3. Name of Applicant's Principals:

Name and Title: Michael Harris (CEO)
Address: 3900 Essex
Suite # 750
Houston, TX 77027

Telephone: (832) 818-7000
Fax: (832) 204-8411
E-Mail: michael.harris@unifiedenergy.com

Name and Title: Bennett Rowe (President)
Address: 3900 Essex
Suite # 750
Houston, TX 77027

Telephone: (713-560-5150)
E-Mail: Bennett@unifiedenergy.com

Name and Title: Sarah Salinas (Vice President)
Address: 3900 Essex
Suite # 750
Houston, TX 77027

Telephone: (832-630-8453)
E-Mail: ssalinas@unifiedenergy.com
4. Contact Customer Service Department:

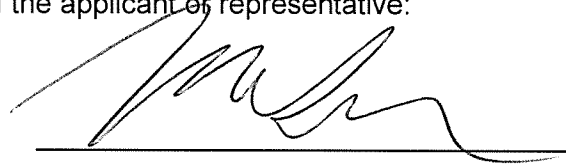
Name and Title: Shannon McGriff (Business Development Manager)
Address: 3900 Essex
Suite # 750
Houston, TX 77027

Telephone: (832-319-777)
E-Mail: Shannon@unifiedenergy.com

5. Copy of the applicants authorization to do business in New Hampshire from the Secretary of State (Enclosed).
6. Description of the geographic areas of New Hampshire in which we intend to provide service:

PSNH
Unitil
NHEC
7. Unified Energy Services, LLC is not representing any supplier interest or a listing of any supplier interest in which we intend to represent.
8. Required Filing Fee enclosed - \$250.00
9. Signature of the applicant or representative:

Applicant:

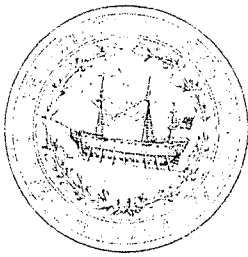


By:

Michael Harris

Title:

CEO



State of New Hampshire
Department of State
Corporation Division

603-271-3246



Enclosed is the acknowledgment copy of your Application for Registration as a Foreign Limited Liability Company. It acknowledges this office's receipt and filing of your documents.

Should you have any questions, you may contact the Corporation Division at the above number or email us at corporate@sos.state.nh.us. Please reference your Business ID # located in the filed section of the enclosed acknowledgement copy of Application for Registration as a Foreign Limited Liability Company.

Please visit our website for helpful information regarding all your business needs.

Regards,

New Hampshire Department of State
Corporation Division

Business ID#: 667067

State of New Hampshire

Filed
Date Filed: 03/05/2012
Business ID: 667067
William M. Gardner
Secretary of State

Filing fee: \$ 50.00
Fee for Form SRA: \$ 50.00
Total fees: \$100.00

Form FLLC-1
RSA 304-C:12

Use black print or type.

Form must be single-sided, on 8 1/2 x 11" paper;
double sided copies will not be accepted.

APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS OF THE NEW HAMPSHIRE LIMITED LIABILITY COMPANY LAWS, THE UNDERSIGNED HEREBY APPLIES FOR REGISTRATION TO TRANSACT BUSINESS IN NEW HAMPSHIRE AND FOR THAT PURPOSE SUBMITS THE FOLLOWING STATEMENT:

FIRST: The name of the limited liability company is Unified Energy Services, LLC

SECOND: The name which it proposes to register and do business in New Hampshire is Unified Energy Services, LLC

THIRD: It is formed under the laws of Texas

FOURTH: The date of its formation is November 13th, 2008

FIFTH: The nature of the business or purposes to be conducted or promoted in New Hampshire is Broker acting as an agent on behalf of customers in the sale and purchase of electricity and who DOES NOT take title to electricity

SIXTH: The name of its registered agent in New Hampshire is InCorp Services, Inc

and the **street address**, town/city (including zip code and post office box, if any) of its registered office is (agent's business address in New Hampshire) 152 South Mast Street
Goffstown, NH 03045

SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).

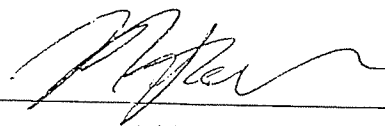
State of New Hampshire
Form FLLC 1 - Application for Foreign Registration FLLC 5 Page(s)



T1206625065

APPLICATION FOR REGISTRATION AS A
FOREIGN LIMITED LIABILITY COMPANY

Form FLLC-1
(Cont.)

*Signature: 
Print or type name: Michael Harris
Title: CEO
Date signed: February 15th, 2012
Complete address of person signing: 3900 Essex Lane
Suite # 750
Houston, TX 77027

* Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporate Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees. DATED AND SIGNED ORIGINAL, CERTIFICATE OF EXISTENCE OR DOCUMENT OF SIMILAR IMPORT ISSUED BY THE STATE OR COUNTRY OF FORMATION AND FORM SRA to:
Corporate Division, Department of State, 107 North Main Street, Concord NH 03301-4989

Form SRA – Addendum to Business Organization and Registration Forms
Statement of Compliance with New Hampshire Securities Laws

Part I – Business Identification and Contact Information

Business Name: Unified Energy Services, LLC

Business Address (include city, state, zip): 3900 Essex Lane, Suite # 750, Houston, TX 77027

Telephone Number: (713) 820-6181 E-mail: michael.harris@unifiedenergy.com

Contact Person: Michael Harris Michael Harris

Contact Person Address (if different): _____

Part II – Check ONE of the following items in Part II. If more than one item is checked, the form will be rejected.
[PLEASE NOTE: Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below. However, you must insure that your business meets all of the requirements spelled out in A), B), and C)]:

1. _____ Ownership interests in this business are exempt from the registration requirements of the state of New Hampshire because the business meets ALL of the following three requirements:
A) This business has 10 or fewer owners; and
B) Advertising relating to the sale of ownership interests has not been circulated; and
C) Sales of ownership interests – if any – will be completed within 60 days of the formation of this business.
2. _____ This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed - _____
3. _____ This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation - _____
4. ☒ This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.

Part III – Check ONE of the following items in Part III:

1. ☒ This business is not being formed in New Hampshire.
2. _____ This business is being formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act

Part IV – Certification of Accuracy

(NOTE: The information in Part IV must be certified by: 1) all of the incorporators of a corporation to be formed; or 2) an executive officer of an existing corporation; or 3) all of the general partners or intended general partners of a limited partnership; or 4) one or more authorized members or managers of a limited liability company; or 5) one or more authorized partners of a registered limited liability partnership or foreign registered limited liability partnership.)

I (We) certify that the information provided in this form is true and complete. (Original signatures only)

Name (print): Michael Harris Signature: 

Date signed: February 15, 2012

Name (print): _____ Signature: _____

Date signed: _____

Name (print): _____ Signature: _____

Date signed: _____

Unified Energy Services, LLC
3900 Essex Lane Suite #750
Houston, TX 77027



Office of the Secretary of State

Certificate of Fact

The undersigned, as Secretary of State of Texas, does hereby certify that the document, Certificate of Formation for Unified Energy Services, LLC (file number 801051774), a Domestic Limited Liability Company (LLC), was filed in this office on November 13, 2008.

It is further certified that the entity status in Texas is in existence.

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on February 17, 2012.



A handwritten signature in cursive script, appearing to read "Hope Andrade".

Hope Andrade
Secretary of State

Corporations Section
P.O.Box 13697
Austin, Texas 78711-3697



Hope Andrade
Secretary of State

Office of the Secretary of State

CERTIFICATE OF FILING OF

Unified Energy Services, LLC
File Number: 801051774

The undersigned, as Secretary of State of Texas, hereby certifies that a Certificate of Formation for the above named Domestic Limited Liability Company (LLC) has been received in this office and has been found to conform to the applicable provisions of law.

ACCORDINGLY, the undersigned, as Secretary of State, and by virtue of the authority vested in the secretary by law, hereby issues this certificate evidencing filing effective on the date shown below.

The issuance of this certificate does not authorize the use of a name in this state in violation of the rights of another under the federal Trademark Act of 1946, the Texas trademark law, the Assumed Business or Professional Name Act, or the common law.

Dated: 11/13/2008

Effective: 11/13/2008



A handwritten signature in black ink, appearing to read "Hope Andrade".

Hope Andrade
Secretary of State

Phone: (512) 463-5555
Prepared by: Virginia Tobias

Come visit us on the internet at <http://www.sos.state.tx.us/>
Fax: (512) 463-5709
TID: 10306

Dial: 7-1-1 for Relay Services
Document: 236605810002